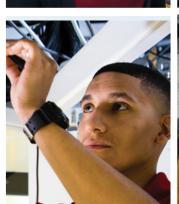
# CODE OF CONDUCT











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#### **MESSAGE FROM OUR CHAIRMAN & CEO**

Our values serve as the foundation for our success. Acting with **INTEGRITY** in all our business dealings, exhibiting **EXCELLENCE** by delivering on our commitments, and demonstrating **RESPECT** to those we interact with—these are all essential to maintaining a lasting and solid reputation.

This Code of Conduct highlights critical policies and regulations that impact our business operations—that we are all expected to adhere to every day. Adhering to our Code, our policies, and the law is non-negotiable—and it's a condition of employment. If you are ever in doubt about the right course of action—or observe an action inconsistent with our values—you are empowered to raise the issue with your supervisor or the many other <u>L3Harris Resources</u> available to you. You can do this with complete confidence because L3Harris does not tolerate retaliation against anyone for asking questions or raising concerns.

We must maintain an unwavering commitment to high ethical standards, and **never** compromise our values in order to achieve business objectives. Our customers, shareholders, suppliers, and communities expect nothing less.

Thank you for your commitment to living our values every day.

Sincerely,

1911 19121

**BILL BROWN** 

Chairman & Chief Executive Officer



#### **OUR VALUES**

What are values? Values, at their basic level, are the standards that guide our behavior. Our values provide the foundation for our commitment to the highest level of ethical conduct, a commitment we take very seriously.







#### **OUR CODE OF CONDUCT**

Our Code of Conduct ("Code") describes our commitment to our values, summarizes the essential policies, laws, and regulations that we need to know while working for L3Harris, and is our guide to ethical decision-making. Making ethical decisions is essential to how we work with each other, with our customers and business partners, and within our global community.

Our Code applies to L3Harris employees, officers and members of the Board of Directors. Following the Code is mandatory. We all have an obligation to comply with our Code, our policies and the laws where we do business. Violations are taken seriously at L3Harris and may result in disciplinary action, up to and including termination of employment.

As the Code cannot address all of the applicable laws and regulations, please seek guidance from the appropriate <u>L3Harris Resource</u> whenever questions arise.

We also expect all third parties with whom we contract, including agents, suppliers and contractors, to comply with the L3Harris Supplier Code of Conduct when conducting business on L3Harris' behalf.

(1) ADDITIONAL INFORMATION: In rare situations, the Company may waive the application of this Code. Such waivers require the prior written approval of the General Counsel. Waivers involving Executive Officers or Board Members require the prior written approval of the Board of Directors. L3Harris will promptly disclose to our shareholders any such waivers as required by law.

#### MAKING ETHICAL DECISIONS

Our Code cannot anticipate every scenario in which we might encounter an ethical dilemma or question. We should always use our best judgment. When faced with an ethical dilemma, follow L3Harris' Ethical Decision-Making Model – ACT – a simple three-step process to guide us in applying our values when making decisions.



#### ASK WHAT THE ISSUES ARE.

Many serious ethics issues can be avoided by taking a moment to think about the situation before taking action.



#### CONSIDER OUR VALUES AND YOUR RESPONSIBILITIES.

Good decisions are based on our values and applicable policies and laws, as well as common sense.



#### TAKE ACTION.

In a timely manner, ask for help, obtain more information, or report the matter to an L3Harris Resource.



VALUES-BASED ETHICS





#### **OUR RESPONSIBILITIES**

We all have a responsibility to live the L3Harris' values and we will:

- · Be accountable for our own conduct
- Comply with the policies, laws, and regulations that apply to our jobs
- Seek guidance, raise concerns, and report any observed or suspected misconduct
- · Cooperate with investigations
- Complete all required training on-time, including certification to our Code

#### MANAGEMENT RESPONSIBILITIES

L3Harris supervisors, managers, and leaders must also:

- Set the tone by promoting our values and Code and responding to employee concerns
- Model ethical behavior by demonstrating integrity, being inclusive, treating
  others with respect, and ensuring employees are not pressured to compromise
  L3Harris' policies or the law
- Foster an ethical culture where employees are encouraged to speak up, ask questions, and report behavior inconsistent with our values and Code, without fear of retaliation

#### L3HARRIS RESOURCES

We have a responsibility to seek guidance or report concerns when we are unsure about a situation or behavior. The following L3Harris Resources are always available:

Supervisor or other member of management

**Human Resources** 

Member of the Legal Department

Subject Matter Expert (Contracts, Quality, Finance, Security, Government Compliance, Information Security, Trade Compliance, EHS, Communications, etc.)

An Ethics Advisor or Ethics & Compliance staff member

#### L3Harris Helpline

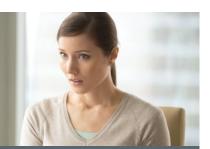
• Website: www.L3HarrisHelpline.com

• Phone: 1-877-532-6339

#### Mail:

L3Harris Technologies, Inc. Attention: Corporate Ethics Office 1025 West NASA Blvd. Melbourne, Florida 32919





For concerns about accounting, internal controls, auditing, financial controls or disclosure of possible violations of securities laws, you may also contact the Audit Committee of the Board of Directors by mail at the following:

L3Harris Technologies, Inc. Corporate Headquarters Attention: Audit Committee 1025 West NASA Blvd. Melbourne, Florida 32919

(1) ADDITIONAL INFORMATION: Employees covered by a Collective Bargaining Agreement ("CBA") may be required to report certain issues in accordance with procedures specified in the CBA. For example, issues related to pay, benefits and working conditions may be subject to the applicable grievance procedures. Consult your CBA.

#### HANDLING CONCERNS AND INVESTIGATIONS

All questions, concerns, and reports of possible misconduct are taken seriously. L3Harris will take appropriate action whenever violations of our Code, policy, or law have occurred. The content of all investigations will be treated confidentially and/or anonymously, to the extent possible. This means that all employees are expected to cooperate with internal investigations and interviews; always tell the truth and never provide false statements.

ADDITIONAL INFORMATION: When reporting anonymously through the Helpline, periodically check for communications and possible follow-up questions regarding your concern. Your participation is important to support a thorough and fair investigation.

#### **GOVERNMENT INVESTIGATIONS AND INQUIRIES**

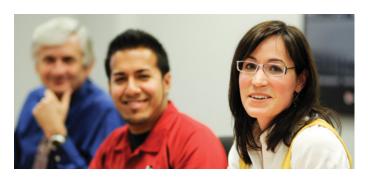
Given the highly regulated environment in which we operate and the nature of the work we do, there may be times when a government official contacts an L3Harris employee directly as part of an inquiry or investigation. In these cases, it is expected that, after confirming the identity and authority of the individual as a government official, employees are cooperative, honest, and notify the L3Harris Legal Department immediately following such contact. In addition, employees are not authorized to present themselves as L3Harris representatives to government

authorities, or to release any information on behalf of L3Harris, unless specifically authorized by the L3Harris Legal Department.

ADDITIONAL INFORMATION: If contacted by third party that is not a government official, such as an attorney from other company, employees should promptly notify the L3Harris Legal Department and not respond to any requests for information until approved.

#### **OUR CULTURE OF NON-RETALIATION**

L3Harris strictly prohibits any form of retaliation against an employee, who in good faith reports a violation of the Code or of the law or assists in the investigation of a reported concern. Employees, who engage in retaliatory behavior against others, may be subjected to disciplinary action, up to and including termination.



## A COMMITMENT TO OUR CUSTOMERS AND BUSINESS PARTNERS

As a trusted partner to our customers and business partners around the world, L3Harris is committed to delivering quality products and services. We hold ourselves accountable for being aware of and following the rules that apply to the work we do. In our business dealings, we conduct ourselves in an honest and ethical manner and expect the same of everyone who works on our behalf, including our agents and business partners.



#### PRODUCING QUALITY PRODUCTS

Delivering quality products and services that meet our customers' requirements is critical to building long-term customer relationships. To ensure we meet our quality commitments to our customers, we shall:

- · Strive to do each job right the first time
- Prepare all required reports accurately and completely
- Comply with contract specifications, including design requirements, inspections and tests
- Only use materials and processes conforming to the quality levels specified in each contract
- Only use substitute materials or processes if they have been approved in writing and in advance by the customer's authorized representative
- Not knowingly misrepresent, in any way, the condition or status of services or products offered for inspection, testing, or delivery

#### WORKING WITH THE U.S. AND OTHER GOVERNMENTS

In all of our business dealings, we conduct ourselves in an honest and ethical manner and expect the same of everyone who works on our behalf, including, but not limited to, agents, business partners, subcontractors, and other third parties. The rules for providing products, services and solutions to the government or other public sector entities may be more complex and considerably stricter than those that govern our work with commercial customers.

Working with governments means that we:

- Maintain the highest levels of procurement integrity
- Provide accurate submissions of time charging and other costs
- · Understand and avoid organizational conflicts of interest
- Do not hire former government employees without authorization
- Avoid kickbacks, bribes and illegal or unethical commissions

#### IMPORTANT

The Federal Acquisition Regulation ("FAR") requires disclosure of credible evidence of violations of law (e.g., fraud, conflicts of interest, bribery or gratuities, etc.) in connection with the award, performance or closeout of U.S. Government contracts and subcontracts.

It is critically important that we immediately report any possible violations of the law or an overpayment on a government contract or subcontract to an <u>L3Harris Resource</u>.





#### COMPETING FOR GOVERNMENT BUSINESS

The Procurement Integrity Act ("the Act") provides a series of prohibitions designed to protect the integrity of the procurement process by ensuring that competitors for government contracts compete on a level playing field. This is done by safeguarding access to competitive information and imposing post-employment restrictions on certain current and former government employees. Specifically, during a competition, the Act prohibits government officials from disclosing to L3Harris, without written authorization, any other contractor's bid or proposal information or the government's internal source selection information. As L3Harris employees, it likewise prohibits us from improperly seeking or obtaining those types of information.

#### IMPORTANT

If we receive any information that might be construed as presenting a conflict with the laws, regulations and rules that apply to activities related to contracting with the U.S. Government, or if we have any questions about these laws and regulations, we should contact an L3Harris Resource.

## ACCURATE REPORTING FOR U.S. GOVERNMENT CONTRACTS

Accurate reporting means that we ensure everything we provide to our government customers is current, truthful, complete, accurate and submitted in a timely manner. This includes:

- Anything related to costs (e.g., labor hours, travel, materials, and other costs)
- All correspondence (e.g., representations, certifications, statements, and communications)
- · Bids and proposals
- · Claims and disclosures

#### IMPORTANT

It is critical that we promptly and accurately report the hours that we work. The hours must be recorded to the appropriate account or program in accordance with contract requirements, and internal policies and procedures.

Cost or pricing data in support of our proposals must be accurate, complete and current as of the date of final agreement on price. Cost or pricing data is defined very broadly by law and can include information we have developed or received even if we do not use it in a proposal. Whether we are the contract negotiator, the cost estimator or the person responsible for furnishing data to the cost estimator, we must ensure that the data meets the FAR requirements.

#### ORGANIZATIONAL CONFLICTS OF INTEREST

Organizational conflicts of interest ("OCI") may occur in connection with government contracts when activities being performed by the contractor can either result in an unfair competitive advantage for the contractor or the work may impair the contractor's ability to be objective. For example, if we drafted specifications for a government contract, we might be precluded from bidding on that contract.

## EMPLOYING CURRENT AND FORMER GOVERNMENT PERSONNEL

L3Harris employees must understand and abide by the rules and regulations for discussing potential employment opportunities with current or former government employees. To avoid gaining a competitive advantage or obtaining "inside" information during the hiring process, coordinate with an L3Harris Resource prior to discussing employment opportunities or assigning work to former government employees.

#### **COMPETING FAIRLY**

L3Harris is committed to competing fairly, with integrity, and conducting our business in compliance with all applicable competition and anti-trust laws. Most of the countries in which L3Harris conducts business have laws designed to promote free and open competition and to prohibit activities designed to restrain trade. We will not:

- Communicate with competitors to discuss prices, allocate markets, boycott customers or suppliers, or limit production of services for anti-competitive purposes
- Make false statements about our competitors
- Obtain or use any information from a customer, competitor, or other source to which L3Harris is not clearly and legitimately entitled

- Obtain or use information, such as proposal prices, competitor pricing or technical data, proposal evaluations, internal government estimates, or any information marked by the U.S. government or other government entity as "source selection information" or similar markings
- Obtain or use proprietary information in any form possessed by new hires from their prior employers

#### IMPORTANT

If you have reason to believe that the release or receipt of any information is unauthorized, or you are uncertain as to our right to use the information, do not copy, distribute or use it until the situation has been reviewed and resolved by the L3Harris Legal Department.



## PREVENTING BRIBERY, CORRUPTION, AND KICKBACKS

As L3Harris employees, regardless of where in the world we live and work, we must all comply with the U.S. Foreign Corrupt Practices Act ("FCPA"), the United Kingdom Bribery Act ("UKBA"), and the anti-corruption laws of the countries in which we operate. Employees are prohibited from offering, giving, soliciting or accepting any bribe or kickback, whether dealing with government officials, political parties or representatives of commercial organizations. This means that we:

- Conduct due diligence when hiring or managing third parties as we can be held liable for their actions
- Accurately record all payments and transactions
- Never give, offer, accept, or promise anything of value that may be construed as illegal or improper
- Prohibit facilitation payments unless someone's safety or welfare is at stake or advance approval from L3Harris' Legal Department is obtained
- Prohibit offering or giving anything of value to a foreign official for the purpose of improperly influencing decision-making
- Do not engage in financial transactions that, directly or indirectly, promote or result from criminal activity, including false invoices, unauthorized payments to offshore banking locations or unauthorized payments to third parties outside the territory in which the third party operates
- Do not become involved in financing, supporting, or assisting any terrorist person, activity, or organization

#### **DEFINITION**

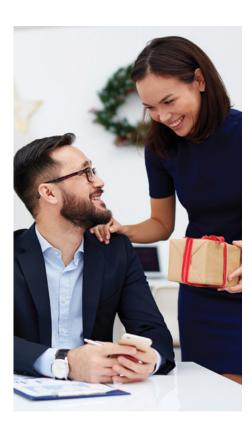
"Bribe" is not limited to cash payments, but anything of value, including gifts, entertainment, hospitality, travel or other favors offered, given, solicited or received for an improper purpose.

"Kickback" is providing or receiving something of value either to obtain or reward favorable treatment on a government contract or subcontract.

"Facilitation or grease payment" is a payment of cash or the provision of a small gift to a low-level government official to speed or initiate the performance of expected government service to which L3Harris is entitled. Facilitation payments do not include payment of established fees for government services.

#### IMPORTANT

We expect the same integrity from all third parties, agents, and anyone else who performs work on L3Harris' behalf.



#### **EXCHANGING BUSINESS COURTESIES**

Our business transactions should always be free from even a perception that favorable treatment was sought, received or offered through gifts, favors, hospitality, entertainment or similar gratuities. This is particularly important when dealing with government employees. Business courtesies include tickets, fees or passes to sporting or cultural events, lodging, travel, meals, door prizes and other items of value.

#### **A** IMPORTANT

We are committed to complying with all laws and regulations. We must avoid even the appearance of improper conduct.

#### **Providing Business Courtesies to U.S. Government Employees**

With few exceptions, U.S. Government agencies have strict prohibitions against their employees accepting any business courtesies. Therefore, except as otherwise permitted by regulation or prior Legal Department approval, we are prohibited from offering or providing any business courtesy to a U.S. Government employee or representative. Modest refreshments, such as soft drinks, tea, coffee and fruit, offered on an occasional basis in conjunction with business activities may be acceptable.

#### Providing Business Courtesies to Non-U.S. Government Employees

Employees and officials of non-U.S. governments are subject to local regulations and their specific agency's rules. L3Harris employees must abide by these regulations when doing business with non-U.S. governments. Our third-party

intermediaries or agents that represent L3Harris interests outside the U.S. must also comply with these rules.

#### Providing Gifts and Entertainment to Commercial/ Non-Government Persons

It is our responsibility to inquire about any prohibitions or limitations applicable to the recipient's organization before offering any business courtesy. We may provide meals, refreshments, or entertainment of reasonable value to nongovernment persons in support of business activities, provided:

- The courtesy is not offered to obtain favorable treatment
- The courtesy does not violate any law, regulation, or the standard of conduct of the recipient's organization
- The courtesy is consistent with marketplace practices, infrequent in nature, and is not lavish or extravagant

#### IMPORTANT

Before offering, providing, or accepting business courtesies, review L3Harris' policy or contact the Legal Department for further guidance.

#### Soliciting and Receiving Gifts and Entertainment

Our business transactions should always be free from a perception that favorable treatment was sought, received, or offered through gifts, favors, hospitality, entertainment, or similar gratuities. When receiving business courtesies, we:

- May not solicit, directly or indirectly, business courtesies for our benefit or the benefit of another person
- May not accept business courtesies with an agreement to do anything in return, particularly when involved in contract solicitation or negotiations
- Only accept business courtesies consistent with reasonable marketplace customs and in compliance with L3Harris policy
- · Never accept cash or cash equivalents, such as gift cards
- Will decline or return inappropriate business courtesies, or if they cannot be returned, we will turn them over to an Ethics Advisor or Ethics & Compliance staff member

#### **LOBBYING**

Lobbying involves communications with legislators, regulators or their staff in an effort to influence legislative or certain other administrative actions. Many countries prohibit corporations from donating corporate funds, goods or services (including employees' work time), directly or indirectly, to political candidates or parties. Lobbying activities are subject to specific rules that cover a wide range of activities. It is important that all contacts with officials regarding public policy are coordinated through L3Harris' Government Relations Department to ensure all lobbying activities are disclosed.

To ensure compliance with the Byrd Amendment, contribution of L3Harris' funds or the use of its assets or facilities for the benefit of political parties or candidates and engaging with government officials regarding public policy and legislative matters anywhere in the world must be approved in advance by the L3Harris Legal Department.

ADDITIONAL INFORMATION: The Byrd Amendment prohibits the use of contract payments to pay any person for influencing, or attempting to influence, officials of the executive or legislative branches of the U.S. government (including members of Congress and their staffs) in connection with the award or modification of U.S. Government contracts.



#### AVOIDING CONFLICTS OF INTEREST

We are trusted to always act in the best interest of L3Harris, devote our full professional effort to our jobs, and avoid conflicts of interest. A "conflict of interest" can arise when a personal interest conflicts, or appears to conflict, with the best interests of the Company.

Any activity, situation, or relationship that conflicts or appears to conflict with the interests of L3Harris must be promptly and fully disclosed. While we cannot list every potential conflict of interest, some common examples are:

- Hiring or managing a family member or someone with whom we have a personal relationship, including romantic/dating
- Having a business relationship with a supplier, contractor, or customer where there is a family, financial, or personal relationship
- Being employed by or having a business connection with a competitor, supplier, or customer
- Using L3Harris equipment or resources for non-business purposes
- Taking personal advantage of business opportunities discovered through the use of Company property, information or our position

 Obtaining outside employment that gives access to third-party intellectual property that is the same or similar as that which L3Harris owns, is developing or has access to

#### **■** IMPORTANT

Even if we believe we are acting properly, a personal relationship with another employee may be perceived by others as a conflict and must be disclosed to an L3Harris Resource.



#### COMPLYING WITH GLOBAL TRADE LAWS

We deliver our products, services, solutions and technologies to countries throughout the world. As a result, our activities are governed by U.S. and international trade laws. In conducting our international business, we are committed to adhering to these laws, including those related to the topics below.

#### **Exports and Imports**

Any L3Harris product, service, or technology that is created in one country and then sent across that country's borders can be considered an export. Exports include more than just the transfer of a physical item from one place to another; even when in the U.S., exports can also include the transfer of information via email or a face-to-face conversation with a non-U.S. person. Export laws and regulations often restrict the sale or transfer of technical data, hardware and/or services. In addition to monitoring export activities, most countries also have regulations that govern the entry or import of products, services and technologies across their borders.

#### This means that we:

- Adhere to all export and import laws that regulate the transfer of certain military or commercial products, information, technology, and defense services, including all licensing, and customs requirements
- Understand that we cannot directly or indirectly conduct business with U.S.-sanctioned countries, including providing military products or services to countries under an arms embargo by the U.S. Government

#### IMPORTANT

Before disclosing technical data to a non-U.S. person or hosting a non-U.S. person at an L3Harris facility, fully understand any applicable export requirements and limitations. There are serious penalties for violation of these global trade laws, including the loss of export privileges, as well as civil and criminal penalties. For any questions, contact the L3Harris Trade Compliance or Legal Departments.

#### **Anti-Boycott**

All employees, including those of our non-U.S. subsidiaries, must comply with the U.S. Anti-Boycott Act. Specifically, it is unlawful to:

- Furnish information about our Company's (or any person's) past, present or prospective relationship with boycotted countries or blacklisted companies
- Pay, honor or confirm letters of credit containing boycott provisions
- Enter into a contract containing boycott provisions

#### IMPORTANT

In the event that you receive a request to participate in or support a boycott, you should report it immediately to the L3Harris Legal Department, as all requests for boycott information must be reported to the U.S. Government.

#### Sanctions, Suspensions, and Debarments

We may not conduct any government-related business with any individual or company that is currently suspended or debarred under U.S. Government laws and regulations.





## MAINTAINING A SAFE AND HEALTHY WORKPLACE

We are committed to conducting operations and activities in a manner that provides and maintains safe and healthy working conditions. Be sure to comply with all applicable health, safety, and security laws, policies, procedures, internal controls and regulations. Pay close attention to risks, and:

- Never block fire exits, fire extinguishers or electrical panels with furniture or equipment
- Never disregard safety or environmental rules established in procedures or training
- · Wear personal protective equipment as directed

#### IMPORTANT

Immediately report any work-related injuries or actual or potential unsafe work conditions to an L3Harris Resource.

#### A WORKPLACE FREE FROM VIOLENCE

As part of L3Harris' commitment to safety, our workplace must be free from physical violence. Workplace violence takes many forms, such as:

- Threats of violence toward any person or property
- Stalking or other forms of intimidation
- Domestic violence affecting the workplace
- · Sabotage of property

#### IMPORTANT

Weapons are prohibited in the workplace without specific and explicit approval. If you witness or become aware of any possible violent threats, contact an <u>L3Harris Resource</u> immediately.

#### A WORKPLACE FREE FROM SUBSTANCE ABUSE

The unauthorized use of controlled substances (drugs, prescriptions) or alcohol can create serious safety risks. The possession, sale or use of unauthorized controlled substances, or being under the influence of such unauthorized controlled substances, on Company time, property, or at Company-sponsored events is prohibited.

We prohibit the consumption of alcohol on Company premises or when conducting Company business, except when consumed in moderate amounts during approved business events. In any case, all employees are held accountable for ensuring that their performance and judgment are unimpaired by alcohol during working hours.

## PROMOTING DIVERSITY, INCLUSION, AND RESPECT IN THE WORKPLACE

We appreciate that every individual in L3Harris brings a unique background and perspective, as well as a unique set of abilities. Leveraging our diversity creates innovative solutions and enhances our delivery of world-class customer service.

We treat people with dignity, fairness and respect. We create an inclusive environment where diversity in people and perspectives is valued. We achieve success through collaborative efforts and a commitment to achieving common, defined objectives. We seek to provide a work environment where everyone can perform effectively and achieve their full potential.



#### IMPORTANT

We are all responsible for creating a climate of trust and respect, and for promoting a productive workplace environment.

#### **AVOIDING HARASSMENT**

L3Harris will not tolerate harassment of any type, including physical and emotional, that targets an individual's race, gender, sexual orientation, religion, disability, age or other protected personal characteristics. This extends to colleagues, suppliers, customers and anyone else who does business with the Company. This prohibition also extends beyond the workplace, to any work-related setting, such as business trips, meetings and related social events, and on electronic media, including blogs, texting, instant messaging, and social media. Harassment is contrary to our values, creates an offensive or otherwise harmful work environment, and interferes with work performance.

#### IMPORTANT

We are all expected to promote a culture of respect and avoid any behavior that could be viewed as forms of harassment or discrimination. If we have additional questions about respect in the workplace, refer to L3Harris' policies related to these topics or contact an L3Harris Resource.

#### DEFINITION

Some examples of harassment include, but are not limited to:

- Bullying, threats, or intimidation
- Sexual remarks or gestures, questions or conversations about sexual activities, or requests for sexual favors
- Unwelcome or inappropriate touching
- Texting/emailing offensive remarks, jokes, or pictures

#### PREVENTING DISCRIMINATION

As an equal opportunity employer, L3Harris is committed to treating all employees and applicants for employment with respect and dignity and maintaining a workplace that is free from unlawful discrimination. We recruit, hire, develop, promote, discipline, and provide other conditions of employment without regard to race, color, religion, national origin, gender (including pregnancy, childbirth, breastfeeding or other related medical conditions), age, disability, genetic disorder, predisposition or carrier status, marital or partnership status, veteran status, sexual orientation, gender identity or expression. We also provide reasonable accommodations to qualified employees and applicants as required by law.

## PROTECTING EMPLOYEE INFORMATION AND PRIVACY

L3Harris is committed to protecting the privacy of the data placed in our trust. Only employees, who are authorized and who have a work-related reason, may access personally identifiable information ("PII") such as Company personnel and medical records. Personnel and medical records should contain only information necessary for employment-related purposes. Many countries have strict laws protecting PII related to our employees and others, and we must protect this information. This means that we:

- Only access, collect, use, or share PII for legitimate business reasons
- Take measures to safeguard PII from loss, misuse, or unauthorized access
- Use special care when transferring PII to an authorized third party and use nondisclosure agreements or similar measures to safeguard information
- Retain and store records in accordance with legal requirements

For additional information, refer to L3Harris' policies. In the case of any known or suspected data breaches, immediately report the matter to an L3Harris Resource.

#### **DEFINITION**

- "PII" is any information that we collect or process that can be used to identify, locate or contact an individual or entity. Some examples of PII include, but are not limited to:
- Identification numbers, such as Social Security number, passport number, and driver's license number
- Financial information and credit card numbers
- Address
- Date of birth
- Mother's maiden name
- Medical records



#### SAFEGUARDING PROPRIETARY INFORMATION

At L3Harris, we own, create and have access to a significant amount of information. In accordance with policies and data privacy laws, we must protect and properly handle information in written, electronic or any other form, whether obtained from or relating to L3Harris, its suppliers, customers or other third parties. This means we:

- should not disclose or use any of this information without proper authorization, clearance and "need to know"; this obligation lasts during our entire employment and at all times thereafter
- · adhere to non-disclosure agreements
- preserve Company proprietary markings
- ensure that all technical data and software submitted to a customer are marked with the correct data rights legends
- do not transmit this information by email unless it is appropriately encrypted or otherwise protected

#### IMPORTANT

To protect intellectual property, employees should place the proper marking on our proposals, as well as marking any data or software with the correct data rights legend specified in our contracts.

#### DEFINITION

"Proprietary Information" means any proprietary, confidential, intellectual property, or trade secret information, whether or not marked, in any form that is not publicly known, including information received from third parties which we are obligated to keep confidential. This includes, but is not limited to, information regarding finances, business plans, proposals, customers, vendors, employees and compensation, drawings, inventions, fabrication processes, research, software, technical specifications, and test results.

#### **GUARDING CLASSIFIED INFORMATION**

We are all responsible for understanding the sensitivity of the information entrusted to our care. On many occasions, the governments we work with give us access to classified information that requires special handling and a continuing obligation to protect it at all times. There are many laws and regulations that relate to the protection of classified information, which are complex and vary by country and government agency. We must be familiar with these laws and regulations and closely follow the security guidelines detailed in our contracts or by the relevant government agency or country.

#### SECURING COMPANY ASSETS AND PROPERTY

We are personally responsible for protecting L3Harris assets, and those provided to us by our customers, against unauthorized access, fraud, theft, loss, or abuse. This means we:

- Immediately report any suspicions of cyber-attack (e.g., phishing emails), fraud, theft, loss, or misuse of L3Harris assets
- Use and manage property provided by a government customer or other third party according to the terms of the agreement or contract
- Return L3Harris property, including files or data, when we leave L3Harris employment



#### USING COMPANY INFORMATION SYSTEMS

Our information systems are critical to our day-to-day business activities and we must use them responsibly. Therefore, remember to never share passwords, do not take or forward electronic information, secure computers and cell phones, avoid inappropriate Internet sites, and do not install unapproved hardware or software. Immediately report the loss or improper use of, or access to, Company information systems, including mobile devices, phones, laptops, key cards, user IDs and passcodes to an L3Harris Resource.

ADDITIONAL INFORMATION: We should be mindful that communications taking place over the L3Harris' information systems are not private and the Company cannot guarantee confidentiality. L3Harris reserves the right, as appropriate, to monitor or make records of all such communications to verify compliance with policies or for other legitimate business reasons.

#### IMPORTANT

When working at a government facility or when having authorized access to a government information system, we must understand and strictly follow all procedures and usage requirements.

## KEEPING ACCURATE BUSINESS AND FINANCIAL RECORDS

We must maintain accurate and complete business and financial records in compliance with U.S. and international laws and regulations.

- Financial Integrity: Honest, accurate, and timely recording
  and reporting of our financial transactions is critical to
  L3Harris' reputation. All financial transactions are entered into
  L3Harris' books and records using local accounting standards
  and adjusted in accordance with U.S. Generally Accepted
  Accounting Principles. If you have any reason to believe that
  a record is inaccurate or misleading, contact an L3Harris
  Resource.
- Document Retention: We are required to retain business records, such as financial information and government filings, and any other records created within the scope of our business, for a specified period of time. If you have a question about whether or not to maintain a record, consult L3Harris' policies related to record retention and destruction or contact the L3Harris Legal Department.
- Complying with Legal Holds: There are times when we must follow additional precautions with respect to maintaining

records, such as in the event of government or internal investigations or legal proceedings. In such circumstances, we must abide by the specific retention instructions provided by the Legal Department. If you have any questions about our responsibility to maintain records, contact the L3Harris Legal Department.

- Submission of Accurate Expense Reporting: It is critical that all expense reports be completed accurately and in a timely manner. This means we:
  - Charge all costs accurately to the correct charge number or contract
  - Follow applicable procedures for expense reporting
  - Ensure all expenses are permissible by the terms of the contract or policy

#### IMPORTANT

It is critical to L3Harris' reputation that our financial data and business records are current, accurate, and complete.

#### TRADING SECURITIES RESPONSIBLY

Protecting the confidentiality of material, non-public information is imperative to our reputation as a trusted business partner. During the course of our work, we may have access to material non-public information about L3Harris, our business partners, or others. We are all responsible for protecting this information and are prohibited from using it for personal gain. This means we:

- Protect material non-public information, as it is confidential, from disclosure or unauthorized use
- Are prohibited from buying or selling securities, including stock, bonds, options, and other derivatives, when we are aware of material non-public information, including "tipping" or recommending trades to family members, friends, or others
- Only permit executives or employees with access to financial results, to trade stock during "open window" periods following the public release of quarterly earnings in compliance with our policies

#### IMPORTANT

Material information, that must be kept confidential, is any non-public information that a reasonable investor would likely consider important in making investment decisions and that has not been disclosed broadly to the public.

#### **DEFINITION**

Examples of inside material information include:

- · Acquisition or divestiture discussions
- Changes in management structure or changes at the executive level
- Awards or cancellations of major contracts
- Development of new products, services or processes
- Financial information, such as corporate earnings



#### COMMUNICATING HONESTLY AND CAREFULLY

We are committed to accurate, honest, and timely communications to the public, the media, L3Harris' shareholders, and government officials and agencies. As a publicly-traded company, L3Harris is subject to regulations that govern the disclosure of information to the public. To protect our reputation and maintain integrity with our external stakeholders, only those authorized may speak on behalf of L3Harris.



- Communications and Market Activities: Truthful and accurate communication about our products and services is essential to meeting our responsibilities to our customers.
- Contact with the Media: L3Harris has appointed designated individuals in the Communications Department to respond to all media inquiries. Unless you have been approved as an L3Harris spokesperson, you may not engage with the media on behalf of L3Harris. Refer all requests received from members of the media and financial analysts to the Communications Department.
- Social Media: Responsible use of social media is essential to safeguarding our Company's confidential and proprietary information and reputation. While the Internet provides us with the potential to share valuable information, we are all responsible for exercising care and using good judgement on social media sites, personal websites or blogs. This means we:
  - Never post material that is not aligned with our values (obscene, threatening, or abusive)
  - Never disclose L3Harris, customer, or third party confidential and proprietary information
  - Ensure that the opinions expressed are stated as our own and not those of L3Harris



#### **CORPORATE CITIZENSHIP**

Consistent with our ethical culture, L3Harris is committed to good corporate citizenship through its involvement in charitable organizations and community activities. We encourage employees to volunteer in our communities.

#### RESPECTING OUR ENVIRONMENT

L3Harris is committed to conducting operations and activities in a manner that protects the environment, through the prevention of pollution, waste management, conservation of natural resources, and recycling.

#### PARTICIPATING IN POLITICAL ACTIVITIES

L3Harris respects personal participation in the political process by our employees, however, that involvement and participation must be conducted on an individual basis, on your own time and at your own expense. Many countries prohibit corporations from donating corporate funds, goods or services (including employees' work time), directly or indirectly, to political candidates or parties.

#### **HUMAN RIGHTS**

L3Harris is committed to protecting human rights and preventing human trafficking by promoting and complying with all human rights laws and standards in all of our locations. This means we:

- Do not engage in any human trafficking-related activities, including procuring commercial sex acts and using child and forced labor
- Avoid misleading or fraudulent recruiting and employment practices, including charging recruiting fees, denying access to an employee's identity or immigration documents, failing to disclose key terms and conditions of employment, and failing to provide return transportation
- Recognize the right to collective bargaining and comply with all applicable wage and hour laws

#### L3HARRIS RESOURCES

We may report our concerns in several ways:

Supervisor or other member of management

**Human Resources** 

Member of the Legal Department

Subject Matter Expert (Contracts, Quality, Finance, Security, Government Compliance, Information Security, Trade Compliance, EHS, Communications, etc.)

An Ethics Advisor or Ethics & Compliance staff member

#### L3Harris Helpline

• Website: www.L3HarrisHelpline.com

• Phone: 1-877-532-6339

#### Mail:

L3Harris Technologies, Inc. Attention: Corporate Ethics Office 1025 West NASA Blvd. Melbourne, Florida 32919

